

# Semantic Overlap Summarization among Multiple Alternative Narratives: an Exploratory Study

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## Abstract

In this paper, we introduce an important yet relatively unexplored NLP task called **Semantic Overlap Summarization (SOS)**, which entails generating a single summary from multiple alternative narratives which can convey the *common information* provided by those narratives. As no benchmark dataset is readily available for this task, we created one by collecting 2,925 alternative narrative pairs from the web and then, went through the tedious process of manually creating 411 different reference summaries by engaging human annotators. As a way to evaluate this novel task, we first conducted a systematic study by borrowing the popular *ROUGE* metric from text-summarization literature and discovered that *ROUGE* is not suitable for our task. Subsequently, we conducted further human annotations to create 200 document-level and 1,518 sentence-level ground-truth *overlap labels*. Our experiments show that the sentence-wise annotation technique with three overlap labels, i.e., {Absent (A), Partially-Present (PP), and Present (P)}, yields a higher correlation with human judgment and higher inter-rater agreement compared to the *ROUGE* metric.

## 1 Introduction

In this paper, we look deeper into the challenging yet relatively under-explored area of automatic summarization of multiple alternative narratives with different perspectives. To be more specific, we formally introduce a new NLP task called **Semantic Overlap Summarization (SOS)** from multiple alternative narratives and conduct a systematic study of this task by creating a benchmark dataset as well as exploring how to accurately evaluate this task. *SOS* essentially means the task of *summarizing the overlapping information* present in multiple alternative narratives by cross-verifying their information contents against each other. Computationally, our research question is the following:

*Given two distinct narratives  $N_1$  and  $N_2$  of an event  $e$ , how can we automatically generate a sin-*

*gle summary about  $e$  which conveys the common information provided by both  $N_1$  and  $N_2$ ?*

Multiple alternative narratives appear frequently in a variety of domains, including education (Somasundaran et al., 2018), the health sector (Bijoy et al., 2021), businesses intelligence (Azeroual and Theel, 2018), content analysis (Hassan et al., 2020; Karmaker Santu et al., 2018b) and privacy (Wilson et al., 2018). Therefore, automatic summarization of multiple-perspective narratives has become a pressing need in this information explosion era and can be highly useful for digesting such multi-narratives at scale and speed.

Figure 1 shows a toy example of the *SOS* task, where both articles cover the same event related to “abortion”. However, they report from different political perspectives, i.e., one from the *left* wing and the other from the *right* wing. For greater visibility, “Left” and “Right” wing reporting biases are represented by *blue* and *red* text, respectively. *Green* text denotes the common information in both news articles. The goal of the *SOS* task is to generate a summary that conveys the common/overlapping information provided by the *green* text.

At first glance, the *SOS* task may appear similar to a traditional multi-document summarization task where the goal is to provide an overall summary of the (multiple) input documents. However, the difference is that, for *SOS*, the goal is to provide summarized content with an additional constraint, i.e., the commonality criteria. There is no current baseline method or an existing dataset that exactly matches our task; more importantly, it is unclear which one is the right evaluation metric to properly evaluate this task. As a starting point, we frame *SOS* as a constrained seq-to-seq task where the goal is to generate a summary from two input documents that conveys the overlapping information present in both input text documents. However, the bigger challenge we need to address first is the following: 1) *How can we evaluate this task?* and 2) *How*

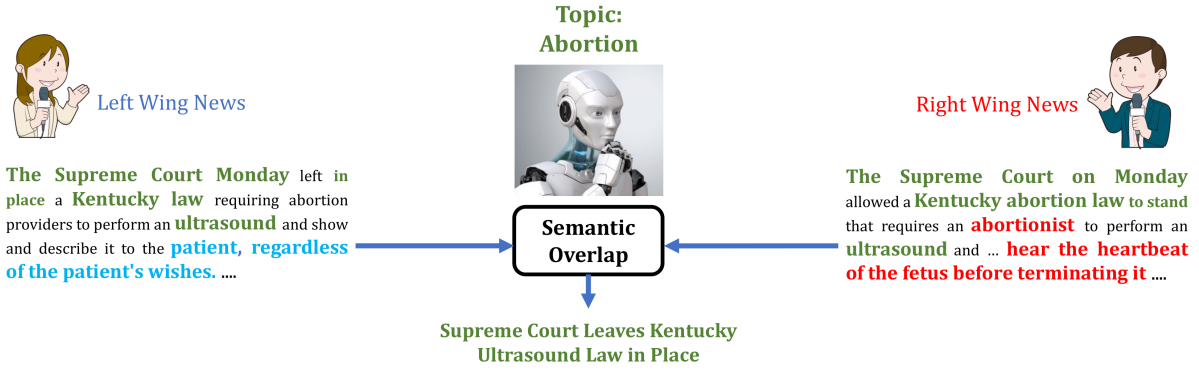


Figure 1: A toy example of *Semantic Overlap Summarization (SOS)* Task (from multiple alternative narratives). Here, an abortion issue-related event has been reported by two news media (left-wing and right-wing). “Green” Text denotes the common information from both news media, while “Blue” and “Red” text denotes the unique perspectives of *left* and *right* wing. Some real examples from the benchmark dataset are provided in the Table 3.

to create a benchmark dataset for this task? To address these challenges, we make the following contributions in this paper.

1. We formally introduce *Semantic Overlap Summarization (SOS)* (from multiple alternative narratives) as a new NLP task and conduct a systematic study by formulating it as a constrained summarization problem.
2. We create and release the first benchmark dataset consisting of 2,925 alternative narrative pairs for facilitating research on the *SOS* task. Also, we went through the tedious process of manually creating 411 different ground-truth reference summaries and conducted further human annotations to create 200 document-level and 1,518 sentence-level ground-truth *overlap labels* to construct the benchmark dataset.
3. As a starting point, we experiment with *ROUGE*, a widely popular metric for evaluating text summarization tasks, and demonstrate that *ROUGE* is NOT suitable for the evaluation of *SOS* task.
4. We do further human experiments, which show that sentence-level evaluation is the proper way to evaluate the *SOS* task. It also improves the inter-rater agreement compared to the traditional *ROUGE* metric and shows a higher correlation with human judgments.

## 2 Related Works

As *SOS* can be viewed as a multi-document summarization task with additional commonality constraints, text summarization literature is the most relevant to our work. Over the years, many paradigms for document summarization have been

explored (Zhong et al., 2019). The two most popular among them are *extractive* approaches (Cao et al., 2018; Narayan et al., 2018; Wu and Hu, 2018; Zhong et al., 2020) and *abstractive* approaches (Bae et al., 2019; Hsu et al., 2018; Liu et al., 2017; Nallapati et al., 2016). Some researchers have also tried combining extractive and abstractive approaches (Chen and Bansal, 2018; Hsu et al., 2018; Zhang et al., 2019).

Recently, encoder-decoder-based neural models have become really popular for abstractive summarization (Rush et al., 2015; Chopra et al., 2016; Zhou et al., 2017; Paulus et al., 2017). It has become even more prevalent to train a general language model on a huge corpus of data and then transfer/fine-tune it for the summarization task (Radford et al., 2019; Devlin et al., 2019; Lewis et al., 2019; Xiao et al., 2020; Yan et al., 2020; Zhang et al., 2019; Raffel et al., 2019). Summary length control for abstractive summarization has also been studied (Kikuchi et al., 2016; Fan et al., 2017; Liu et al., 2018; Fevry and Phang, 2018; Schumann, 2018; Makino et al., 2019). In general, multiple document summarization (Goldstein et al., 2000; Yasunaga et al., 2017; Zhao et al., 2020; Ma et al., 2020; Meena et al., 2014) is more challenging than single document summarization. However, the *SOS* task is different from traditional multi-document summarization tasks in that the goal here is to summarize content with an *overlap* constraint, i.e., the output should only contain the common information from both input narratives.

Alternatively, one could aim to recover verb-predicate alignment structure (Roth and Frank, 2012; Xie et al., 2008; Wolfe et al., 2013) from

a sentence and further, use this structure to compute the overlapping information (Wang and Zhang, 2009; Shibata and Kurohashi, 2012). Sentence Fusion is another related area that aims to combine the information from two given sentences with some additional constraints (Barzilay et al., 1999; Marsi and Krahmer, 2005; Krahmer et al., 2008; Thadani and McKeown, 2011). A related but simpler task is to retrieve parallel sentences (Cardon and Grabar, 2019; Nie et al., 1999; Murdock and Croft, 2005) without performing an actual overlap summary generation. However, these approaches are more targeted toward individual sentences and do not directly translate to arbitrarily long documents. Thus, the *SOS* task is still an open problem and there is no existing dataset, method, or evaluation metric that has been systematically studied.

Along the evaluation dimension, *ROUGE* (Lin, 2004) is perhaps the most commonly used metric today for evaluating automated summarization techniques; due to its simplicity and automation. However, *ROUGE* has been criticized a lot for primarily relying on lexical overlap (Nenkova, 2006; Zhou et al., 2006; Cohan and Goharian, 2016; Akter et al., 2022) of *n*-grams. As of today, around 192 variants of *ROUGE* are available (Graham, 2015) including *ROUGE* with word embedding (Ng and Abrecht, 2015) and synonym (Ganesan, 2018), graph-based lexical measurement (ShafieiBavani et al., 2018), Vanilla *ROUGE* (Yang et al., 2018) and highlight-based *ROUGE* (Hardy et al., 2019). However, there has been no study yet as to whether the *ROUGE* metric is appropriate for evaluating the *SOS* task, which is one of the central goals of our work.

### 3 Motivation and Applications

Multiple alternative narratives are frequent in a variety of domains and, therefore, have direct implications in technical areas such as Information Retrieval/Search Engines, Question Answering, Machine Translation, etc. Below are a few examples of use cases.

Peer-Reviewing: Given two peer-review narratives for an article, the *SOS* task can generate a summary of portions of the narratives that agree with each other, which can help prepare a meta-review quickly.

Security and Privacy: *SOS* task can enable real-world users to quickly conduct a comparative analysis of multiple privacy policies by mining and summarizing overlapping clauses from those poli-

cies. Thus, it can help users make informed decisions while choosing from various alternative web services.

Health Sector: *SOS* can be used to compare clinical notes in patient records to perform a comparative analysis of patients with the same diagnosis/treatment. For example, *SOS* can be applied to the clinical notes of two (or more) patients who went through the same treatments to assess the effectiveness of the treatment.

Military Intelligence: If *A* and *B* are two intelligence reports related to a mission coming from two human agents, the *SOS* task can help cross-verify the claims in each report w.r.t. the other, thus, *SOS* can be used as an automated claim-verification tool.

Computational Social Science and Journalism:

Assume that two news agencies (with different political biases) are reporting the same real-world event and their bias is somewhat reflected in the articles they write. If *A* and *B* are two such news articles, then the *SOS* output will likely surface the facts (common information) about the event.

Below are some of the potential applications of the *SOS* task in various technical areas.

Information Retrieval/Search Engines: One could summarize the common information in the multiple results fetched by a search engine for a given query and show it in a separate box to the user. This would immensely help them to quickly parse the desired information rather than going through each article individually. If they wish, they could further explore the specific articles for more details.

Question Answering: One could apply *SOS* to summarize the common information/answer from multiple documents pertinent to a given question. This will help formulate a more accurate answer by consulting multiple sources.

Robust Translation: Suppose you have multiple machine translator models which translate a given document from language *A* to language *B*. One could further apply the *SOS* to different translated documents and get a more robust translation by summarizing their semantic overlap.

In general, *SOS* task could be employed in any setting where we require comparative text analysis.

### 4 Problem Formulation

What is *Semantic Overlap Summarization*? This is indeed an open question and there is no single

AllSides Dataset: Statistics				
Split	#words (per docs)	#sents (per docs)	#words (per reference)	#sents (per reference)
Train	1613.69	66.70	67.30	2.82
Test	959.80	44.73	65.46/38.06/21.72/32.82	3.65/2.15/1.39/1.52

Table 1: Statistics for the Training and Testing dataset. Two input narratives are concatenated to compute the statistics. Four numbers for reference (#words/#sents) in the Test split correspond to the 4 reference overlap summaries. Our test dataset contains 137 samples, wherein each sample has 4 ground truth references. Out of these 4 references, *one* summary is provided by AllSides, and 3 of them were manually written by 3 human annotators. Thus, we generated  $3 \times 137 = 411$  references in total.

correct answer. To simplify notations, let us stick to having only two documents  $D_A$  and  $D_B$  as our input since it can easily be generalized in case of more documents using *SOS* repeatedly. Also, let us define the output as  $D_O \leftarrow D_A \cap_O D_B$ . A human would mostly express the output in the form of natural language, and this is why we frame the *SOS* task as a constrained multi-seq-to-seq (text generation) task, where the output text only contains information that is present in both the input documents. We also argue that brevity (minimal repetition) is a desired property of *Semantic Overlap Summarization*. For example, if a particular piece of information or quote is repeated twice in both the documents, we don’t necessarily want it to be present in output overlap summary two times. The output can either be extractive summary or abstractive summary or a mixture of both, as per the use case. This task is inspired by the set-like intersection operator as envisioned by (Karmaker Santu et al., 2018a) and the aim of this work is to summarize the overlapping information in an abstract fashion. Additionally, *SOS* should follow the *commutative* property, i.e.  $D_A \cap_O D_B = D_B \cap_O D_A$ .

## 5 The Benchmark Dataset

As mentioned in section 1, there is no existing dataset that we could readily use to evaluate the *SOS* task<sup>1</sup>. To address this challenge, we collected data from [AllSides.com](https://allsides.com). AllSides is a third-party online news forum that exposes people to news and information from all sides of the political spectrum so that the general people can get an “unbiased” view of the world. To achieve this, AllSides displays each day’s top news stories from news media widely-known to be affiliated with differ-

ent sides of the political spectrum including “Left” (e.g., New York Times, NBC News), and “Right” (e.g., Townhall, Fox News) wing media. AllSides also provides its own *factual* description of the reading material, labelled as “Theme” so that readers can see the so-called “neutral” point-of-view. Table 1 gives an overview of the dataset statistics created by crawling from AllSides.com, which consists of news articles (from at least one “Left” and one “Right” wing media) covering 2,925 events in total and also having a minimum length of “theme-description” to be 15 words. Given two narratives (“Left” and “Right”), we used the theme description as a proxy for ground-truth reference summaries. We divided this dataset into testing data (described next) and training data (remaining samples) [see Table 1]. Table 2 shows the different attributes of the same AllSides dataset.

Feature	Description
theme	headlines by AllSides
theme-description	news description by AllSides
right/left head	right/left news headline
right/left context	right/left news description

Table 2: Overview of dataset scraped from AllSides. AllSides is licensed under a Creative Commons Attribution-NonCommercial 4.0 International License.

**Testing Dataset and Human Annotations<sup>2</sup>:** We engaged human volunteers to thoroughly annotate our testing samples (narrative pairs) in order to create multiple reference overlap summaries for each pair. This helped in creating a comprehensive testing benchmark for more rigorous evaluation. Specifically, we randomly sampled 150 narrative pairs describing 150 unique events (each pair consists of one narrative from the “Left” wing and one

<sup>1</sup>Multi-document summarization datasets can not be utilized in this scenario as their reference summaries do not follow the semantic overlap constraint.

<sup>2</sup>Dataset and manual annotations can be found at: <https://karmake2.github.io/publications/>



Narrative Pair Example # 1			
Narrative 1: N <sub>1</sub>		Narrative 2: N <sub>2</sub>	
<p>WASHINGTON – U.S. intelligence and law enforcement agencies have confirmed that President Donald Trump’s campaign aides and associates had constant contact with Russian intelligence officials before the election, directly contradicting public statements made by top administration officials. On Jan. 15, shortly before Trump took office, Vice President Mike Pence repeatedly said on television that there were zero contacts between the campaign and Russian officials. . . . Pence also answered “of course not” when asked a similar question that day by “Fox News Sunday” host Chris Wallace . . . Trump himself also denied these interactions . . . “There’s nothing that would conclude me that anything different has changed with respect to that time period,” Spicer said. . . .</p>		<p>President Trump said Wednesday that new reports saying his associates had contact with Russian officials during last year’s campaign are “non-sense” and accused the U.S. intelligence community of illegally leaking information to news outlets. “This Russian connection non-sense” is merely an attempt to cover-up the many mistakes made in Hillary Clinton’s losing campaign,” Mr. Trump tweeted. . . . Among those supposedly communicating with Russian nationals was former Trump campaign chairman Paul Manafort, the report said. Mr. Manafort denied that he ever knowingly talked to any intelligence official “or anyone</p>	
Reference Overlap Summaries			
A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	AllSides
President Trump and the Trump administration deny allegations that advisers close to Trump were in constant communication during the campaign with Russians known to US intelligence.	Trump denied climas that advisers close to him were in “constant communication during the campaign with Russians known to US intelligence.	Donald Trump and his group claimed that there is no contact with Russian officials during his last year’s campaign.	Russian intelligence officials made repeated contact with members of President Trump’s campaign staff, according to new reports that cite anonymous U.S. officials. American agencies were concerned about the contacts but haven’t seen proof of collusion between the campaign and the Russian security apparatus.

Narrative Pair Example # 2			
Narrative 1: N <sub>1</sub>		Narrative 2: N <sub>2</sub>	
<p>John McCain is out of McConnell’s clutches for a week or two. While Sen. John McCain remains in Arizona recovering from Friday’s craniotomy, surgery to remove a 5 cm blood clot from above his left eye, business will not go on as usual in Washington. Majority Leader Mitch McConnell, who has to have every Republican senator voting to have a prayer of passing Trumpcare, has postponed the vote for the week or two (more likely two) that McCain’s recovery will take. That means there’s more time for opponents to fight this thing, from the side of all of us trying to keep 22 million people from losing insurance and from the other side. . . . With both Paul and Sen. Susan Collins (R-ME) solid “no” votes on the bill, opponents only need one more out of the eight or so who’ve expressed reservations about the bill and the secretive, exclusive process McConnell</p>		<p>WASHINGTON - The Republican effort to repeal and replace Obamacare faces a major setback as Sen. John McCain, R-Ariz., left the nation’s capital for surgery on his eye. Over the weekend, Senate Majority Leader Mitch McConnell, R-Ky., announced the scheduled Better Care Act vote would be delayed indefinitely because of McCain’s absence. Subsequently, the Congressional Budget Office (CBO) also delayed its analysis of the bill. With two Republican senators opposed to the measure, McConnell needs at least 50 “yes” votes to pass it. Sen. Rand Paul, R-Ky., says the bill, which keeps taxes on investments and other pieces of Obamacare, doesn’t go far enough. Moderate Sen. Susan Collins, R-Maine, is also withholding her support because it would slow the rate of growth in spending on Medicaid. . . .</p>	
Reference Overlap Summaries			
A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	AllSides
Sen. John McCain remains in Arizona recovering from eye surgery. Senate Majority Leader Mitch McConnell postponed the vote due to McCain’s absence. Two Republican senators opposed to the bill. Possibility of bill failing.	Sen. John McCain remains unavailable because of the surgery on his eye. Senate Majority Leader Mitch McConnell delayed the vote in his absence. Sen. Rand Paul and Sen. Susan Collins said “no” votes on the bill.	Senate Majority Leader Mitch McConnell, R-Ky., announced the scheduled health care vote would be delayed indefinitely because of McCain’s absence.	Senate Majority Leader Mitch McConnell, R-Ky., announced the scheduled Better Care Act vote would be delayed indefinitely because of McCain’s absence.

Table 3: Some examples of *SOS* references from 3 human annotators ( $A_i$ ) and the AllSides “theme-description” for a given narrative pair  $\{N_1, N_2\}$ . (. . .) denotes some sentences which for not shown for brevity. More examples can be found over [here](#). Having multiple human annotators is critical to perform robust evaluation, but it is laborious and time-consuming on humans’ part. This also shows that the lack of available datasets is a huge challenge for the *SOS* task.

from the “Right” wing, thus 300 narratives in total) and then asked 3 humans to write a summary of common information present in both narratives describing each of the 150 events.

After the first round of annotations, we immediately observed a discrepancy among the three annotators in terms of the *real* definition of

“common/overlapping information”. For example, one annotator argued that the reference summary should be non-empty as long as there is an overlap between two narratives along at least one of the *5W1H* facets (Who, What, When, Where, Why, and How), while another annotator argued that overlap in only one facet is not enough to decide whether

there is indeed enough semantic overlap between the two narratives and reference summary should be left empty in such cases. As an example, one of the annotators wrote only “Donald Trump” as the reference summary for a couple of cases where the actual narratives were substantially different except for “Donald Trump” being the only common entity, while others had those cases marked as “empty”.

To mitigate this issue, we only retained the narrative pairs where at least two of the annotators wrote a minimum of 15 words as their reference summaries, assuming that a human-written summary will contain 15 words or more only in cases where there is indeed a *significant* overlap between the two original narratives. This filtering step gave us a test set with 137 narrative pairs where each sample had 4 reference summaries, *one* from AllSides and *three* from human annotators, resulting in a total of 548 reference summaries. A couple of sample narrative pairs are shown in Table 3 along with the human annotations.

## 6 Evaluating SOS Task using ROUGE

As *ROUGE* (Lin, 2004) is the most popular metric used today for evaluating summarization tasks, we first conducted a case study with *ROUGE* as the evaluation metric for the *SOS* task. For methods, we experimented with multiple SoTA pre-trained abstractive summarization models as *naive baselines* for *Semantic-Overlap Summarizer (SOS)*. These models are: 1) **BART** (Lewis et al., 2019), fine-tuned on CNN and multi-English Wiki news datasets, 2) **Pegasus** (Zhang et al., 2019), fine-tuned on CNN and Daily Mail dataset, and 3) **T5** (Raffel et al., 2019), fine-tuned on multi-English Wiki news dataset. As our primary goal is to construct a benchmark dataset for the *SOS* task and explore how to accurately evaluate this task, experimenting with only 3 abstractive summarization models is not a barrier to our work. Proposing a custom method fine-tuned for the *Semantic-Overlap* task is an orthogonal goal to this work and we leave it as future work. Also, we shall use the phrases “summary” and “overlap-summary” interchangeably from here. To generate the summary, we concatenate a narrative pair and feed it directly to the model.

For evaluation, we first evaluated the machine-generated overlap summaries for the 137 manually annotated testing samples using the ROUGE metric by following the procedure mentioned in Lin

(2004) to compute the ROUGE- $F_1$  scores against multiple reference summaries. More precisely, since we have 4 reference summaries, we got 4 precision, recall pairs which are used to compute the corresponding  $F_1$  scores. For each sample, we took the max of these four  $F_1$  scores and averaged them out across the test dataset (see Table 4).

Model	R1	R2	RL
BART	40.73	25.97	29.95
T5	38.50	24.63	27.73
Pegasus	46.36	29.12	37.41

Table 4: Average ROUGE- $F_1$  Scores for all the test models across test dataset. For a particular sample, we take the maximum value out of the 4  $F_1$  scores corresponding to the 4 reference summaries.

**Implementation Details:** For generating summaries, we used off-the-shelf models in our experiments with default settings for *summarization task* following the [Huggingface repo](#). Apart from this, we set the min and max length parameters to 10 and 300, respectively, based on our dataset. All the models are publicly available with details of the source. For ROUGE computation, we followed the implementation from the [HuggingFace repo](#) with the following parameters:  $\{use\_stemmer = True, bootstrap\_aggregation = False\}$ . Apart from this, we just used a sentence tokenizer from nltk library with English to create the input tokens. So, most of the method and ROUGE implementations are already publicly available. As such, there was no training involved in our experiments, but we still made use of the GPU (NVIDIA Quadro RTX 5000 with 16 GB of memory) to generate summaries using these models. Table 5 shows the summarization models and the number of parameters used in our experiments.

Model	#Parameters
BART	~ 406 M
T5	~ 223 M
Pegasus	~ 571 M

Table 5: Models and their corresponding number of parameters used in our experiments.

**Results and Findings:** We computed Pearson’s correlation coefficients (using the [scipy](#) package) between each pair of ROUGE- $F_1$  scores obtained using all of the 4 reference overlap summaries (3

Pearson’s Correlation Coefficients									
	R1			R2			RL		
	I <sub>1</sub>	I <sub>2</sub>	I <sub>3</sub>	I <sub>1</sub>	I <sub>2</sub>	I <sub>3</sub>	I <sub>1</sub>	I <sub>2</sub>	I <sub>3</sub>
I <sub>2</sub>	<b>0.62</b>	—		<b>0.65</b>	—		<b>0.69</b>	—	
I <sub>3</sub>	<b>0.3</b>	<b>0.38</b>	—	<b>0.27</b>	<b>0.37</b>	—	<b>0.27</b>	<b>0.44</b>	—
I <sub>4</sub>	<b>0.17</b>	<b>0.34</b>	<b>0.34</b>	0.14	<b>0.33</b>	<b>0.21</b>	<b>0.18</b>	<b>0.35</b>	<b>0.33</b>
Average	<b>0.36</b>			<b>0.33</b>			<b>0.38</b>		

Table 6: Max (across 3 models) Pearson’s correlation between the  $F_1$  ROUGE scores corresponding to different annotators. Here  $I_i$  refers to the  $i^{th}$  annotator where  $i \in \{1, 2, 3, 4\}$  and the “Average” row represents the average correlation of the max values across annotators. Boldface values are statistically significant at p-value  $< 0.05$ . For 5 out of 6 annotator pairs, the correlation values are quite small ( $\leq 0.50$ ), thus, implying the poor inter-rated agreement with regards to the ROUGE metric.

human written summaries and 1 AllSides theme description) to test the robustness of *ROUGE* metric for evaluating the *SOS* task. The corresponding correlations are shown in table 6. For each annotator pair, we report their maximum (across 3 models) correlation value. The average correlation value across annotators is 0.36, 0.33 and 0.38 for R1, R2 and RL, respectively, suggesting that the ROUGE metric demonstrates high variance across multiple human-written overlap-summaries and thus, *unreliable*.

## 7 Can We Do Better than ROUGE?

Section 6 shows that the ROUGE metric is unstable across multiple reference overlap-summaries. Therefore, an immediate question is: Can we come up with a better metric than ROUGE? To investigate this question, we started by manually assessing the machine-generated overlap summaries to check first whether humans agree among themselves or not, i.e., whether human annotators can reach a consensus or not.

### 7.1 Different trials of Human Judgement

**Assigning a Single Numeric Score:** As an initial trial, we decided to first label 25 testing samples using two human annotators (we refer to them as label annotators,  $L_1$  and  $L_2$ ). Both label annotators read each of the 25 narrative pairs as well as the corresponding system-generated overlap summary (generated by fine-tuned BART) and assigned a numeric score between 1-10 (inclusive). This number reflects their judgment/confidence about how accurately the system-generated summary captures the *actual* overlap of the two input narratives. Note that, *the reference overlap summaries were*

*not included in this label annotation process and the label-annotators judged the system-generated summary exclusively with respect to the input narratives*. To quantify the agreement between human scores, we computed the Kendall rank correlation coefficient (or Kendall’s Tau) between two annotator labels since these are ordinal values. We used an open-source [scipy](#) package for computing Kendall’s Tau correlation. However, to our disappointment, the correlation value was 0.20 with the p-value being 0.22<sup>3</sup>. This shows that even human annotators are disagreeing among themselves and we need to come up with a better labelling guideline to reach a reasonable agreement among the human annotators.

On further discussions among annotators, we realized that one annotator only focused on the *precision* of the output overlap summaries, whereas the other annotator took both *precision* and *recall* into consideration. Therefore, subsequently, we decided to assign two separate scores for precision and recall.

**Precision-Recall Inspired Double Scoring:** This time, three label annotators ( $L_1$ ,  $L_2$  and  $L_3$ ) assigned two numeric scores between 1-10 (inclusive) for the same set of 25 system-generated summaries. These numbers represented their belief about how precise the system-generated summaries were (the precision score) and how much of the actual ground-truth overlap information was covered by the same (the recall score). Also, note that *labels were assigned exclusively with respect to the input narratives only*. As the assigned numbers represent ordinal values (i.e. can’t be directly used to com-

<sup>3</sup>The higher p-value means that the correlation value is insignificant because of the small number of samples.

Human agreement in terms of Kendall's Tau for Double Scoring				
	Precision		Recall	
	L <sub>1</sub>	L <sub>2</sub>	L <sub>1</sub>	L <sub>2</sub>
L <sub>2</sub>	0.52	—	0.37	—
L <sub>3</sub>	0.18	0.29	0.31	0.54
<b>Average</b>	<b>0.33</b>		<b>0.41</b>	

Table 7: Kendall’s rank correlation coefficients among the precision and recall scores for pairs of human annotators (25 samples). L<sub>i</sub> refers to the  $i^{th}$  label annotator.

pute the  $F_1$  score), we computed Kendall’s rank correlation coefficient among the precision scores and recall scores separately for all the annotator pairs. The corresponding correlation values can be seen in table 7. As we notice, there is definitely some improvement in agreement among annotators compared to the one-number annotation in section 7.1. However, the average correlation is still 0.33 and 0.41 for precision and recall, respectively, much lower than 0.5 (the random baseline).

Human agreement in terms of Kendall's Tau Sentence-wise Scoring				
	Precision		Recall	
	L <sub>1</sub>	L <sub>2</sub>	L <sub>1</sub>	L <sub>2</sub>
L <sub>2</sub>	0.68	—	0.75	—
L <sub>3</sub>	0.59	0.64	0.69	0.71
<b>Average</b>	<b>0.64</b>		<b>0.72</b>	

Table 8: Average precision and recall Kendall rank correlation coefficients between sentence-wise annotation for different annotators. L<sub>i</sub> refers to the  $i^{th}$  label annotator. All values are statistically significant ( $p < 0.05$ ).

## 7.2 Sentence-wise Scoring

From the previous trials, we realized the downsides of assigning one/two numeric scores to judge an entire system-generated overlap summary. Therefore, as a next step, we decided to assign *overlap labels* (defined below) to each sentence within the system-generated overlap summary and use those labels to compute the overall precision and recall.

**Overlap Labels:** Label annotators (L<sub>1</sub>, L<sub>2</sub> and L<sub>3</sub>) were asked to look at each machine-generated sentence separately and determine if the core information conveyed by it is absent (A), partially present (PP) or present (P) in any of the four refer-

ence summaries (provided by I<sub>1</sub>, I<sub>2</sub>, I<sub>3</sub> and I<sub>4</sub>) and respectively, assign the label A, PP or P. More precisely, annotators were provided with the following instructions: if the human feels that there is more than 75% overlap (between each system-generated sentence and any reference-summary sentence), assign label P, else if the human feels there is less than 25% overlap, assign label A, otherwise, assign label PP. This sentence-wise labelling was done for 50 different samples (with 506 sentences in total for system and reference summary), which resulted in a total of  $3 \times 506 = 1,518$  sentence-level ground-truth labels.

To create the overlap labels (A, PP or P) for precision, we concatenated all 4 reference summaries to make one big reference summary and asked label-annotators (L<sub>1</sub>, L<sub>2</sub>, and L<sub>3</sub>) to use it as a single reference for assigning the overlap labels to each sentence within machine generated summary. We argue that if the system could generate a sentence conveying information that is present in any of the references, it should be considered a hit. For recall, label-annotators were asked to assign labels to each sentence in each of the 4 reference summaries separately (provided by (I<sub>1</sub>, I<sub>2</sub>, I<sub>3</sub> and I<sub>4</sub>)), with respect to the machine summary.

**Inter-Rater-Agreement:** After annotating each system-generated sentence (for precision) and reference sentence (for recall) with the labels (A, PP or P), we used the Kendall rank correlation coefficient to compute the pairwise annotator agreements among these ordinal labels. Table 8 shows that the correlations for both precision and recall are  $\geq 0.50$ , signifying higher inter-annotator agreement.

Label from Annotator B		P	PP	A
Label from Annotator A	P	1	0.5	0
	PP	0.5	1	0
	A	0	0	1

Table 9: Reward matrix used to compare the labels assigned by two label annotators for a given sentence and helps to compute the agreement between the annotator pairs.

**Reward-based Inter-Rater-Agreement:** Alternatively, we defined a reward matrix (Table 9) which is used to compare the label of one annotator (say annotator A) against the label of another annotator (say annotator B) for a given sentence. This reward matrix acts as a form of correlation between two



Human agreement in terms of Reward function				
	Precision		Recall	
	L <sub>1</sub>	L <sub>2</sub>	L <sub>1</sub>	L <sub>2</sub>
L <sub>2</sub>	0.81 ± 0.26	—	0.85 ± 0.11	—
L <sub>3</sub>	0.79 ± 0.26	0.70 ± 0.31	0.80 ± 0.16	0.77 ± 0.17
<b>Average</b>	<b>0.77</b>		<b>0.81</b>	

Table 10: Average precision and recall reward scores (mean ± std) between sentence-wise annotation for different annotators. L<sub>i</sub> refers to the  $i^{th}$  label-annotator.

annotators. Once the reward has been computed for each sentence, one can compute the average precision and recall rewards for a given sample and accordingly, for the entire test dataset. The corresponding reward scores can be seen in Table 10. Both precision and recall reward scores are high ( $\geq 0.70$ ) for all the different annotator pairs, thus signifying, a high inter-label-annotator agreement.

We believe, one of the reasons for higher reward/Kendall scores could be that sentence-wise labelling puts a lesser cognitive load on the human mind allowing them to be more consistent in contrast to the single or double score(s) for the entire overlap summary and, therefore, shows high agreement in terms of human interpretation. A similar observation was noted in Harman and Over (2004).

## 8 Limitations and Future Work

One particular limitation of this work is that we have used pre-trained abstractive summarization models as *naive baselines* / proxies for semantic overlap summarizer and did not attempt to develop a custom method that optimizes for the *overlap* constraint. However, the primary focus of this paper is to define the *SOS* task, as well as establish the first benchmark dataset and a suitable evaluation approach for the task. Therefore, the design and optimization of methods is an orthogonal task to this paper, which we will pursue as our immediate future work.

Another limitation of our work is that the test set is not big ( $\sim 150$  examples), which makes it difficult to do a rigorous evaluation. However, while the number 150 may initially appear to be small; cleaning and annotating the dataset required significant time and resources. To elaborate further, our test dataset contains 137 samples, where each sample consists of two alternative narratives along with 4 ground truth references. Out of these 4

references, 3 of them were manually written by 3 human annotators. Thus, we manually created  $3 * 137 = 411$  reference summaries in total. Additionally, for each sample (narrative pair), each annotator first had to carefully read through two alternative narratives several times, digest the semantic overlap between them and then summarize the overlap in their own words. This process took on average 40 minutes per annotator per sample, which means we spent around  $411 * 40 = 16,440$  minutes of human efforts in one round of the annotation process. Next, we had to resolve conflicts among annotators by going through each of their annotated summaries (a very painstaking process) and figuring out the reasons for the conflicts. Based on follow-up discussions, we revised the guidelines for annotation and went through the entire annotation process again. In total, we needed 4 iterations ( $16,440 * 4 \approx 65,760$  minutes) to resolve most of the conflicts. The whole process took more than 8 months for us. Finally, we agree that having more samples in the test dataset would definitely help. But this is both time and money-consuming. We are working towards it and would like to increase the sample size in the future.

## 9 Conclusion

In this work, we introduced a new NLP task, called Semantic Overlap Summarization (*SOS*) and created a benchmark dataset through meticulous human efforts to initiate a new research direction. As a starting point, we framed the problem as a constrained summarization task and showed that *ROUGE* is not a reliable evaluation metric for this task. Further human experiments show that sentence-wise evaluation leads to higher agreement with human judgment, therefore, an evaluation metric that aggregates sentence-wise overlap labels should be used while evaluating the *SOS* task.

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